

## Implementation of High Risk Mitigation Measures – Quarter 2 to 30<sup>th</sup> September 2015

The purpose of this report is to provide an update concerning the implementation of mitigation measures for those areas of risk which have been assessed as high within the Directorate Risk Register that are relevant to the Health Policy and Performance Board.

### Business Area – Prevention and Assessment Services

#### Business Objective / Project

Ref	Description
PA 1	Working in partnership with statutory and non-statutory organisations, evaluate, plan, commission and redesign services to ensure that they meet the needs of and improve outcomes for vulnerable people.

#### Assessment of current risk(s)

Item	Identified Risk	Impact (Severity)	Likelihood (Probability)	Score (I x L)
1	Failure to effectively manage the development and implementation of Complex Care Pooled budget arrangements.	4	3	12
3	Care Act not fully and/or effectively implemented.	4	4	16

Risk control measure(s)		Lead Officer	Timescale Review	Residual Impact	Residual Likelihood	Residual Score
1	1.1 Effective governance arrangements in place, portfolio holder for Health to chair the Board. 1.2 Contingency arrangements within the authorisation process. 1.3 Expand current arrangements for pooled budgets to include the Better Care Fund.	Sue Wallace-Bonner	Quarterly	4	2	8
3	1.1 Overarching strategic implementation group, sub-groups and project plans to ensure full implementation. 1.2 Full involvement in national and regional workstreams. 1.3 Executive Board Members fully aware of stages and implications of implementation.	Sue Wallace-Bonner	Quarterly	4	2	8

#### Progress update

- Governance arrangements working well. Predicted underspend on budget for year-end. Pooled budget now includes the Better Care Fund. Two recent audits of the Pool completed, giving substantial assurance and the Care Act is now fully implemented.

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### Business Area – Prevention and Assessment Services

#### Business Objective / Project

Ref	Description
PA 2	Continue to effectively monitor the quality of services that are commissioned and provided in the borough for adult social care service users and their carers

#### Assessment of current risk(s)

Item	Identified Risk		Impact (Severity)	Likelihood (Probability)	Score (I x L)		
1	<i>Failure to effectively monitor service quality, which could put service users at risk.</i>		4	4	16		
<i>Risk control measure(s)</i>		Lead Officer	Timescale Review	Residual Impact	Residual Likelihood	Residual Score	
1	<i>1.1 Integrated Safeguarding Unit. 1.2 Effective systems within the Quality Assurance section.</i>		Sue Wallace- Bonner	Quarterly	4	2	8

#### Progress update

Quality of service continues to be monitored. Regular updates to the Safeguarding Board.

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### Business Area – Prevention and Assessment Services

#### Business Objective / Project

Ref	Description
PA 3	Compliance with the new legal requirements of Deprivation of Liberty Safeguards (DoLS).

#### Assessment of current risk(s)

Item	Identified Risk	Impact (Severity)	Likelihood (Probability)	Score (I x L)		
1	<i>Failure to comply with statutory duty due to the impact of the Supreme Court Judgement.</i>	4	4	<b>16</b>		
<i>Risk control measure(s)</i>		Lead Officer	Timescale Review	Residual Impact	Residual Likelihood	Residual Score
1	1.1 <i>Project and action plans agreed by Communities SMT.</i> 1.2 <i>Communication to providers from Strategic Director, Communities.</i> 1.3 <i>Regular updates to Communities SMT.</i>	Sue Wallace-Bonner	Quarterly	4	2	<b>8</b>

#### Progress update

New legal requirements fully implemented resulting in increased demand. Regular updates to SMT continue to monitor impact.